

**Political Science Y565 and SPEA V690: Introduction to Theories of Public Policy
Fall 2011**

Meets Wednesday, 1-3 PM, Woodburn 200

Official Seminar Titles:

Y565: Public Administration, Law, and Policy: Approaches and Issues (Section 10049)

V690: Seminar in the Policy Process (Section 31969)

Common OnCourse website for FA11 BL POLS Y565 C35847

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This seminar introduces graduate students to alternative theoretical perspectives that are especially important in the scholarly study of public policy. It is primarily designed for students in the Joint Ph.D. program in Public Policy as well as students specializing in the field of Public Policy in Political Science or the Ph.D. in Public Affairs program in SPEA. Students from other programs are welcome, space permitting; they are encouraged to contact the instructor before enrolling.

We will examine the standard range of theoretical approaches, including policy stages, policy sciences, incrementalism, institutional analyses based on theories of rational choice and bounded rationality, social and historical institutionalism, public choice, policy networks, advocacy coalitions, punctuated equilibrium, multiple streams, network governance, and discourse analysis. Each student will be asked to complete a voluminous amount of readings from diverse perspectives, with the expectation that each will delve into the details of methods most appropriate for their own research plans in other seminars. All readings are analytical or conceptual in focus, so students will get exposed to few details of substantive policy. The primary focus of this seminar is on theory.

Three required texts are available for purchase and should be available on Reserve in the Wells Library.

- Sabatier, Paul A., ed. 2007. *Theories of the Policy Process*, 2nd edition,
- Goldsmith, Stephen and Donald F. Kettl, eds. 2009. *Unlocking the Power of Networks: Keys to High-Performance Government*
- Weimer, David L., and Aidan R. Vining. 2010. *Policy Analysis: Concepts and Practice*, 5th edition. (Earlier editions are very similar).

Students are expected to participate actively in class discussions, and will be graded accordingly. In addition to regular memos on readings, students will submit a final essay, in which they will apply course material to a substantive area of their own choosing.

Students new to the study of public policy are encouraged to examine an introductory textbook, especially James Anderson, *Public Policymaking*, Kenneth Bickers and John T. Williams, *Public Policy Analysis*, or Thomas Dye, *Understanding Public Policy*.

Assignments and Grading:

A student's seminar grade will be based on three equally weighted components:

1. **Class participation is essential !!** Grades for participation will be based on both the quantity and quality of a student's contribution, with quality assigned the most weight.
2. To facilitate vigorous class discussions, students will be asked to submit **at least three memos** on the readings. Grades will be assigned to each memo and the average grade recorded.
 - a. Distribution of these assignments over the semester will be negotiated during the initial class session.

- b. Each memo should be **BRIEF** and should raise an issue or question from the readings that you consider worth discussing in class. **DO NOT TRY TO SUMMARIZE ALL OF THE READINGS!!** Instead you should focus on a key aspect of one or more of that week's assigned readings.
 - c. Good memos should be constructive, in the sense of helping the author(s) improve his/her/their analysis by (1) clarifying concepts, (2) improving their methods, (3) suggesting an especially apt example or counter-example, (4) making connections to critical sources not cited or (5) to other potentially relevant bodies of research literature, including other assigned readings from this seminar.
 - d. Come to class prepared to explain your memo during class discussions.
 - e. Submission deadline is **NOON Tuesday** before Wednesday's class session. This amount of lead time is necessary to give the instructor and other students an opportunity to examine the memos before class.
3. **Final Paper Assignment** (see end of syllabus). Essays will be evaluated based on how well the student has made effective use of all relevant material covered in this seminar. There will be no class meeting on Wed., Dec. 9, nor during finals week.)

Student Responsibilities and Policy Statements

- **Students are required to attend class every session and to participate fully in class discussions.** Any absences should be justified to the instructor, ideally before class, if at all possible. [To set the proper example, you are hereby notified that the **Dec. 7 class session is cancelled** so that the instructor may attend a conference/workshop in Orlando.]
- OnCourse will serve as the means of communicating announcements and submitting memos and papers. Please set it up so that announcements are automatically forwarded to your regular email account.
- **Incompletes** are strongly discouraged and allowed only under unusual circumstances.
- My **office hours** are available for drop-ins or appointments. Appointments are also available at other times in the week. The easiest ways to set up an appointment are to (1) see me before or after class or (2) contact me via e-mail (mcginnis@indiana.edu). Most of these days I will be in my Woodburn 366 office, unless otherwise announced.
- Students caught cheating or committing plagiarism (misrepresenting someone else's work as your own) will receive a failing grade for that assignment and will probably fail the course. For additional information, see <http://www.indiana.edu/~wts/pamphlets/plagiarism.shtml>.
- **TURN OFF ALL CELL PHONES AND BEEPERS.**
- **Laptops** may be used for taking notes only; please refrain from answering email or surfing the web. If you are bored, raise your hand to ask a question or make a comment!

Overview of Weekly Schedule of Topics and Readings

Our first session will be devoted to working our way through this long and complicated syllabus. Our first substantive session (week 2) will give students a taste of pleasures to come, as we briefly discuss some of the many different ways we might carve up the massive beast of public policy, along the lines of substance, types of organizations involved, stages of a temporal process, and other broad conceptual categorizations to be filled in later.

In weeks 3 and 4 we sample a few classic and contemporary takes on key concepts pivotal to individual, organizational, societal or analytic frames of reference, specifically including classic rational choice models, Simon's bounded rationality, Lindblom's incrementalism, the logic of appropriateness and related tendencies towards institutional isomorphism, Tiebout's voting-with-the-feet model of competition in the public sector, Lowi's generic issue area categories and related conceptualizations of policy networks and networked governance (including the Ostroms' conceptualization of polycentricity).

Then we spend one week surveying the major players in the array of theoretical perspectives most frequently utilized by scholars and practitioners of public policy. Sabatier's edited volume summarizes most of these perspectives, and the other assigned readings fill in some remaining gaps as well as demonstrating examples of some of these theories in action.

The next three weeks are devoted to policy organizations and institutions as seen from the private, public, and voluntary sectors and then two weeks on their integration in terms of cross-sector networks of governance. Organizations from each sector have characteristic strengths and weaknesses, and one key task of institutional design is to craft ways to help them all work together in a complementary fashion.

This serves as a point of departure to examine a broad array of institutionalisms, many of which have been derived from scholars working in economics, sociology and related disciplines. These two weeks of mostly theoretical material on institutional design is nicely complemented by a final session on complexities of the actual implementation of policy changes.

Students should not be discouraged by the magnitude of the assigned readings. An essential survival skill in a Ph.D. program is the ability to cut through the details to focus on an author's key contributions. Throughout the semester the instructor will provide guidance on reading strategies and on the priorities that should be assigned to different aspects of particular readings.

Reading Assignments and Discussion Topics

(subject to minor revision)

Date	Topic	Readings/Assignments
1. Aug. 31	<u>Introduction to Seminar</u>	Syllabus
2. Sept. 7	<u>Historical Backgrounds and Contrasting Perspectives</u>	<p>Weimer and Vining, <i>Policy Analysis</i>, 5th edition What is Policy Analysis? and Toward Professional Ethics, chapters 2-3, pp. 23-53 Doing Well and Doing Good, chapter 18, pp. 448-49</p> <p>Anderson, James E. 2006. <i>Public Policymaking: An Introduction</i>, 6th edition, chapter 1, pp. 1-34. Stillman, Richard J., II. 2005. <i>Public Administration: Concepts and Cases</i>, 8th edition, Introductions to Readings 1.1 and 1.2, PP. 1-5, and Reading 1.2 (Stillman, "The Study of Public Administration in the United States: 'The Eminently Practical Science'"), pp. 16-30.</p> <p>Auer, Matthew. 2007. "The Policy Sciences in Critical Perspective." In <i>Handbook of Public Administration</i>, 3rd ed., ed. Jack Rabin, W. Bartley Hildreth, and Gerald J. Miller, 541–62. New York: CRC Press.</p> <p>Stoker, Gerry. 1998. "Governance as Theory: Five Propositions," UNESCO. http://onlinelibrary.wiley.com/doi/10.1111/1468-2451.00106/pdf</p> <p>Pesch, Udo. 2008. "The Publicness of Public Administration," <i>Administration & Society</i>, 40 (2): 170-193. Rudder, Catherine E. 2008. "Private Governance as Public Policy: A Paradigmatic Shift," <i>Journal of Politics</i> 70 (4), 899-913.</p> <p>Dryzek, John S. 2006. "Policy Analysis as Critique," in Michael Moran, Martin Rein, and Robert E. Goodin, eds., <i>The Oxford Handbook of Public Policy</i>, pp. 190-203.</p>

<p>3. Sept. 14</p>	<p><u>An Initial Look at Some Key Concepts and Basic Vocabulary: Micro</u></p>	<p>Gulick, Luther. 1937. "Notes on the Theory of Organization," in Luther Gulick and Lyndall Urwick, eds., <i>Papers on the Science of Administration</i>, pp. 3-13, as excerpted in Jay M. Shafritz and Philip H. Whitbeck, eds., <i>Classics of Organization Theory</i>, in pp. 52-61.</p> <p>Simon, Herbert A. 1979. "Rational Decision Making in Business Organizations," <i>American Economic Review</i> 69(4): 493-513. [1978 Nobel lecture]</p> <p>Jones, Bryan D. 2003. "Bounded Rationality and Political Science: Lessons from Public Administration and Public Policy," <i>Journal of Public Administration Research and Theory</i> 13(4): 395-412.</p> <p>Lindblom, Charles E. 1959. "The Science of Muddling Through," <i>Public Administration Review (PAR)</i> 19 (2), 79-88.</p> <p>Allison, Graham. 1969. "Conceptual Models and the Cuban Missile Crisis," <i>American Political Science Review (APSR)</i> 63 (3), 689-718.</p> <p>Meyer, John W., and Brian Rowan. 1977. "Institutionalized Organizations: Formal Structure as Myth and Ceremony," <i>American Journal of Sociology</i> 83 (2), 340-363.</p> <p>March, James G., and Johan P. Olsen. 2006. "The Logic of Appropriateness," in Michael Moran, Martin Rein, and Robert E. Goodin, eds., <i>The Oxford Handbook of Public Policy</i>, pp. 689-708.</p>
<p>4. Sept. 21</p>	<p><u>An Initial Look at Some Key Concepts and Basic Vocabulary: Macro</u></p>	<p>Lowi, Theodore J. 1964. "American Business, Public Policy, Case Studies, and Political Theory," <i>World Politics</i> 16 (4), 677-715.</p> <p>Gormley, William T., Jr. 1986. "Regulatory Issue Networks in a Federal System," <i>Polity</i> 18(4): 595-620.</p> <p>Tiebout, Charles M. 1956. "A Pure Theory of Local Expenditure," <i>Journal of Political Economy</i> 64 (5), 416-424.</p> <p>Howell-Moroney, Michael. 2008. "The Tiebout Hypothesis 50 Years Later: Lessons and Lingering Challenges for Metropolitan Governance in the 21st Century," <i>Public Administration Review</i> 68 (1), 97-109.</p> <p>Ostrom, Vincent, Charles M. Tiebout, and Robert Warren. 1961. "The Organization of Government in Metropolitan Areas: A Theoretical Inquiry," <i>American Political Science Review</i> 55 (4), 831-42.</p> <p>Ostrom, Elinor. 2010. "Beyond Markets and States: Polycentric Governance of Complex Economic Systems," <i>American Economic Review</i>, 100(3) (June 2010): 641-72. [2009 Nobel lecture]</p> <p>Geva-May, Iris. 2007. "‘We Seem to Have Always Spoken in Prose ...’ Policy Analysis Is a Clinical Profession: Implications for Policy Analysis Practice and Instruction," <i>Policy Studies Journal</i> 35 (2), 135-163.</p>

<p>5. Sept. 28</p>	<p><u>Alternative Approaches to Policy Theory</u></p>	<p>Sabatier, <i>Theories of the Policy Process.</i>, 2nd edition</p> <ol style="list-style-type: none"> 1. The need for better theories, Paul A. Sabatier 2. Institutional rational choice: an assessment of the institutional analysis and development framework, Elinor Ostrom 3. The multiple streams framework, Nikolaos Zahariadis 6. Punctuated-equilibrium theory: explaining stability and change in public policymaking, James L. True, Bryan D. Jones, and Frank R. Baumgartner 7. The advocacy coalition framework: innovations and clarifications, Paul A. Sabatier and Hank C. Jenkins-Smith 4. Social Construction and Policy Design, Helen Ingram, Anne Schneider, and Peter deLeon 5. The Network Approach, Silke Adam and Hanspeter Kriesi 8. Innovation and diffusion models in policy research, Frances Berry and William Berry 9. The policy process and large-N comparative studies, William Blomquist 10. A comparison of frameworks, theories, and models of policy processes, Edella Schlager 11. Fostering the development of policy theory, Paul A. Sabatier.
<p>6. Oct. 5</p>	<p><u>Sector 1: Markets and How They Fail</u></p>	<p>Weimer and Vining, <i>Policy Analysis</i>, chapters 4-7, pp. 54-155</p> <p>Efficiency and the Idealized Competitive Model</p> <p>Rationales for Public Policy: Market Failures</p> <p>Rationales for Public Policy: Other Limitations of the Competitive Framework</p> <p>Rationales for Public Policy: Distributional and Other Goals</p> <p>Stiglitz, Joseph E. 2010. "Government Failure vs. Market Failure: Principles of Regulation," in Edward J. Balleisen and David A. Moss, eds., <i>Government and Markets: Toward a New Theory of Regulation</i>, Cambridge University Press, pp. 13-51.</p> <p>Ramírez de la Cruz, Edgar E., and Hyung Jun Park. 2008. "Four Perspectives for Understanding Land Use Regulation in America," <i>International Review of Public Administration</i> 13(2): 49-62.</p>

<p>7. Oct. 12</p>	<p><u>Sector 2: Governments and How They Fail</u></p>	<p>Anderson, James E. 2006. "Policy Implementation," <i>Public Policymaking</i>, 6th ed., ch. 6, pp. 200-220.</p> <p>Hooghe, Liesbet, and Gary Marks. 2003. "Unraveling the Central State, but How? Types of Multi-Level Governance." <i>American Political Science Review</i> 97(2): 233–43.</p> <p>Skelcher, Chris. 2005. "Jurisdictional Integrity, Polycentrism, and the Design of Democratic Governance," <i>Governance: An International Journal of Policy and Administration</i> 18 (1), 89-110.</p> <p>Weimer and Vining, <i>Policy Analysis</i>, chapters 8-10, pp. 156-262 Limits to Public Intervention: Government Failures Policy Problems as Market and Government Failure: Madison Taxicab Example Correcting Market and Government Failure: Generic Policies</p> <p>Salamon, Lester M. 2002. "The New Governance and the Tools of Public Action: An Introduction," in Lester M. Salamon, ed., <i>The Tools of Government: A Guide to the New Governance</i>, Oxford University Press, pp. 1-47.</p> <p>Howlett, Michael. 2009. "Governance Modes, Policy Regimes, and Operational Plans: A Multi-Level Nested Model of Policy Instrument Choice and Policy Design," <i>Policy Sciences</i> 42(1): 73-89.</p>
<p>8. Oct. 19</p>	<p><u>Sector 3: Community Organizations, Voluntary Associations, Nonprofits, NGOs and How They Fail</u></p>	<p>Smith, David Horton. 1997. "The International History of Grassroots Associations," <i>International Journal of Comparative Sociology</i> 38 (3-4), 189-216.</p> <p>McCabe, Barbara Coyle. 2011. "Homeowners Associations as Private Governments: What We Know, What We Don't Know, and Why It Matters," <i>Public Administration Review</i> 71(4): 535–542; plus other articles included in HOAs as Private Governments: A Special Mini-Symposium, pp. 535-558.</p> <p>Steinberg, Richard. 2006. "Economic Theories of Nonprofit Organizations," in Walter W. Powell and Richard Steinberg, eds., <i>The Non-Profit Sector: A Research Handbook</i>, 2nd edition, Yale University Press, pp. 117-139.</p> <p>Smith, Steven Rathgeb, and Kirsten A. Grønbjerg. 2006. "Scope and Theory of Government-Nonprofit Relations," in Walter W. Powell and Richard Steinberg, eds., <i>The Non-Profit Sector: A Research Handbook</i>, 2nd edition, pp. 221- 242.</p> <p>Cooley, Alexander, and James Ron. 2002. "The NGO Scramble: Organizational Insecurity and the Political Economy of Transnational Action," <i>International Security</i> 27:1, (Summer 2002), 5-39.</p>

		<p>Balleisen, Edward J. 2010. "The Prospects for Effective Coregulation in the United States: A Historian's View from the Early Twenty-First Century," in Edward J. Balleisen and David A. Moss, eds., <i>Government and Markets: Toward a New Theory of Regulation</i>, Cambridge University Press, pp. 443-481.</p> <p>Prakash, Aseem, and Matthew Potoski. 2007. "Collective Action Through Voluntary Environmental Programs: A Club Theory Perspective," <i>Policy Studies Journal</i> 35 (4), 773-792</p>
9. Oct. 26	<p><u>Networks and Cross-Sector Governance</u> <u>Part 1: General Background</u></p>	<p>Goldsmith and Kettl, <i>Unlocking the Power of Networks</i></p> <p>McGuire, Michael and Robert Agranoff. 2010. "Networking in the Shadow of Bureaucracy," In Durant, Robert F., ed., <i>The Oxford Handbook of American Bureaucracy</i>, 372-394.</p> <p>Berardo, Ramiro, and John T. Scholz. 2010. "Self-Organizing Policy Networks: Risk, Partner, Selection, and Cooperation in Estuaries," <i>American Journal of Political Science</i> 54(3): 632-649.</p>
10. Nov. 2	<p><u>Networks and Cross-Sector Governance</u> <u>Part 2: Further Elaborations</u></p>	<p>Rhodes, R. A. W. 2008. "Policy Network Analysis." In Moran, Michael, Rein, Martin, and Goodin, Robert E., eds. <i>The Oxford Handbook of Public Policy</i></p> <p>Klijn, Erik-Hans. 2008. "Policy and Implementation Networks" In Cropper, Steve, Ebers, Mark, Huxham, Chris, and Ring, Peter Smith (Editors), <i>The Oxford Handbook of Inter-Organizational Relations</i></p> <p>Michael D. McGinnis and Elinor Ostrom. 2011. "Reflections on Vincent Ostrom, Public Administration, and Polycentricity," forthcoming in <i>Public Administration Review</i>.</p> <p>Feiock, Richard C. 2009. "Metropolitan Governance and Institutional Collective Action." <i>UrbanAffairs Review</i> 44(3): 356–77.</p> <p>Bryson, John M., Barbara C. Crosby, and Melissa Middleton Stone. 2006. "The Design and Implementation of Cross-Sector Collaborations: Propositions from the Literature," <i>Public Administration Review</i>, 66 (s1), December 2006 special issue, pp. 44-55.</p> <p>Hafetz, Amir, and Mildred E. Warner. 2011. "Contracting or Public Delivery? The Importance of Service, Market, and Management Characteristics," <i>JPART</i>, forthcoming.</p> <p>Duit, Andreas, and Victor Galaz. 2008. "Governance and Complexity—Emerging Issues for Governance Theory." <i>Governance</i> 21(3): 311–35.</p>

<p>11. Nov. 9</p>	<p><u>Exploring Institutionalisms Part 1: Economics and Beyond</u></p>	<p>Mitchell, William C. 1988. "Virginia, Rochester, and Bloomington," <i>Public Choice</i> 56, 101-119.</p> <p>Miller, Gary. 2005. "The Political Evolution of Principal-Agent Models," <i>Annual Review of Political Science</i> 8, 203-25.</p> <p>Wood, B. Dan, and Richard W. Waterman. 1991. "The Dynamics of Political Control of the Bureaucracy." <i>American Political Science Review</i> 85(3) (Sept.): 801-28.</p> <p>Carrigan, Christopher, and Cary Coglianese. 2011. "The Politics of Regulation: From New Institutionalism to New Governance," <i>Annual Review of Political Science</i>, 14:107–29</p> <p>Williamson, Oliver. 1999. "Public and Private Bureaucracies: A Transaction Cost Economics Perspective," <i>Journal of Law, Economics, & Organization</i> 15(1): 306-342.</p> <p>Perry, James L., Debra Mesch, and Laurie Paarlberg. 2006. "Motivating Employees in a New Governance Era: The Performance Paradigm Revisited," <i>PAR</i> 66 (4), 505-514.</p> <p>Jakee, Keith, and Stephen Turner. 2002. "The Welfare State as a Fiscal Commons: Problems of Incentives Versus Problems of Cognition," <i>Public Finance Review</i> 30(6): 481-508.</p>
<p>12. Nov. 16</p>	<p><u>Exploring Institutionalisms Part 2: Sociology and Beyond</u></p>	<p>Hall, Peter A. and Rosemary C.R. Taylor. 1996. "Political Science and the Three New Institutionalisms," <i>Political Studies</i> 44, 936-957.</p> <p>Pierson, Paul. 2000. "The Limits of Design: Explaining Institutional Origins and Change," <i>Governance</i> 13 (4), 475-499.</p> <p>Peters, B. Guy, Jon Pierre, and Desmond S. King. 2005. "The Politics of Path Dependency: Political Conflict in Historical Institutionalism," <i>Journal of Politics</i> 67 (4), 1275-1300.</p> <p>Edelman, Lauren B., and Mark C. Suchman. 1997. "The Legal Environments of Organizations," <i>Annual Review of Sociology</i> 23, 479-515.</p> <p>Hajer, Maarten, and David Laws. 2006. "Ordering Through Discourse," in Michael Moran, Martin Rein, and Robert Goodin, <i>The Oxford Handbook of Public Policy</i>, pp. 251-268.</p> <p>Schmidt, Vivien A. 2008. "Discursive Institutionalism: The Explanatory Power of Ideas and Discourse," <i>Annual Review of Political Science</i> 11, 303-326.</p>

		<p>Mettler, Suzanne, and Joe Soss. 2004. "Consequences of Public Policy for Democratic Citizenship: Bridging Policy Studies and Mass Politics," <i>Perspectives on Politics (POP)</i> 2 (1), 55-73.</p> <p>Mettler, Suzanne, and Andrew Milstein. 2007. "American Political Development from Citizens' Perspective: Tracking Federal Government's Presence in Individual Lives over Time," <i>Studies in American Political Development</i> 21 (1), 110-130.</p>
13.	THANKSGIVING	
14. Nov. 30	Implementation	<p>Weimer and Vining, <i>Policy Analysis</i>, chapters 11-13, pp. 263-324. Adoption Implementation Government Supply: Drawing Organizational Boundaries</p> <p>Pressman, Jeffrey L., and Aaron B. Wildavsky. 1973. Implementation: How Great Expectations in Washington Are Dashed in Oakland; Or, Why It's Amazing that Federal Programs Work at All, This Being a Saga of the Economic Development Administration as Told by Two Sympathetic Observers Who Seek to Build Morals on a Foundation of Ruined Hopes. Chapters 1, 2, 5, 6, pp. 1-34, 87-146. Berkeley: Univ. of California Press.</p> <p>Maynard-Moody, Steven, and Portillo, Shannon. 2010. "Street-Level Bureaucracy Theory." In Durant, Robert F., ed., <i>The Oxford Handbook of American Bureaucracy</i>.</p> <p>Lascoumes, Pierre, and Patrick Le Gales. 2007. "Introduction: Understanding Public Policy through Its Instruments—From the Nature of Instruments to the Sociology of Public Policy Instrumentation," <i>Governance</i> 20 (1), 1-21.</p> <p>Radin, Beryl A., and Posner, Paul. 2010. "Policy Tools, Mandates, and Intergovernmental Relations," In Durant, Robert F., ed., <i>The Oxford Handbook of American Bureaucracy</i></p> <p>Flinders, Matthew. 2009. "Theory and Method in the Study of Delegation: Three Dominant Traditions," <i>Public Administration</i> 87(4): 955–971.</p> <p>Frederickson, H. George, and Stazyk, Edmund C. 2010. "Myths, Markets, and the Visible Hand of American Bureaucracy," In Durant, Robert F., ed., <i>The Oxford Handbook of American Bureaucracy</i>,</p> <p>Olsen, Johan P. 2005. "Maybe It Is Time to Rediscover Bureaucracy," <i>Journal of Public Administration Research and Theory</i> 16: 1-24.</p>

15. Dec. 7	BRIEF CLASS SESSION	Students should meet to complete course evaluations and discuss their work on the final assignment.
16. Finals Week	NO MEETING	PAPER DUE Wednesday, Dec. 14, by 5 PM. Early submissions are welcomed, even encouraged.

For the **final paper assignment**, students should relate the abstract material covered in this course to a substantive policy area of their own choosing. Particular attention should be given to identifying the **types of organizations and networks** that are most important in that policy area, as well as the critical **processes, structures, and other institutional arrangements** through which policy outcomes are determined. Students should make as many **specific connections to course material** as is possible, given the nature of the subject. Conclusions should specify which conceptual frameworks and theoretical perspectives seem most (or least) useful in this area, and explain **why**. All this should be able to be covered in a paper of some 15-20 (double-spaced) pages, provided the authors do not delve too deeply into the details of that particular policy area. **Focus should remain on the broader perspective** of relevant organizations, networks, processes, structures, and institutions.

From my work on **health policy**, here are examples of the **types of additional readings** needed to complete final assignment:

1. **Descriptions of key stakeholders or actor types**, so you can locate each within frameworks covered in class:
 - a. Lammers, John C., Joshua Barbour, and Ashley Duggan. 2003. "[Organizational Forms of the Provision of Health Care: An Institutional Perspective](#)." In Thompson, T., Dorsey, A., Miller, K., & Parrot, R. (eds.). *Handbook of Health Communication* (pp. 319-346). Hillsdale, NJ: Lawrence Erlbaum Associates.
 - b. Shortell, Stephen M., Robin Gillies, and Frances Wu. 2010. "[United States Innovations in Healthcare Delivery](#)," *Public Health Reviews*, Vol. 32, No 1, 190-212.
 - c. Ford, Eric W., Rebecca Wells, and Barbara Bailey. 2004. "[Sustainable Network Advantages: A Game Theoretic Approach to Community-Based Health Care Coalitions](#)," *Health Care Management Review* 29(2): 159-169.

2. **Summaries of key processes and structures** that shape policy in this area, so you can draw specific connections to frameworks and theories covered in class:
 - a. Oliver, Thomas R. 2006. "[The Politics of Public Health Policy](#)," *Annual Review of Public Health* 27, 195-233.
 - b. Exworthy, Mark. 2008. [Policy to Tackle the Social Determinations of health: using conceptual models to understand the policy process](#), *Health Policy and Planning* 2008; 23:318–327.

- c. Schmid, Achim, Mirella Cacace, Ralf Götze, and Heinz Rothgang. 2010. "[Explaining Health Care System Change: Problem Pressure and the Emergence of 'Hybrid' Health Care Systems](#)," *Journal of Health Politics, Policy, and Law* 35(4): 455-486.
3. **Literature reviews focusing on identifying key dependent and explanatory variables**, to make sure you have covered all the relevant bases (and considered factors at all critical levels and units of analysis):
 - a. Forbes, Melissa, Carolyn J. Hill and Laurence E. Lynn, Jr. 2003. "[The Logic of Governance in Health Care Delivery: An Analysis of the Empirical Literature](#)," *Public Management Review* 9(4): 453 – 477.
 - b. Shi, Leiyu, Jenna Tsai, and Senyeong Kao. 2009. "[Public Health, Social Determinants of Health, and Public Policy](#)," *Journal of Medical Science* 29(2):43-59
 4. **Examples of well-designed research projects that are closely related to your likely research question:**
 - a. Gittell, Jody Hoffer. 2010. [Relational Coordination: Guidelines for Theory, Measurement and Analysis](#), overview of *High Performance Healthcare: Using the Power of Relationships to Achieve Quality, Efficiency and Resilience*, McGraw-Hill, 2009. 2011 version at http://www.relationalcoordination.org/downloads/Relational_Coordination_Guidelines.doc
 - b. Wells, Rebecca, and Bryan J. Weiner. 2007. "[Adapting a Dynamic Model of Interorganizational Cooperation to the Health Care Sector](#)," *Medical Care Research and Review* 64 (5): 518-543.
 5. **Recommendations for future research and/or reform** (to give you a sense of current controversies and future opportunities):
 - a. Boyer, Carol A. & Karen E. Lutfey. 2010. "[Examining Critical Health Policy Issues within and beyond the Clinical Encounter: Patient-Provider Relationships and Help-seeking Behaviors](#)," *Journal of Health and Social Behavior* 51(S): S80-93.
 - b. McKethan, Aaron, and Craig Brammer. 2010. "[Uniting the Tribes of Health System Improvement](#)," *The American Journal of Managed Care* 16 (12, special issue): SP13-18.

You are welcome to use this set of readings as a point of departure, if you happen to be interested in health policy, but if your interests lie elsewhere I encourage you to locate and make use of a similar range of materials related to that substantive policy area, including material covered in other seminars.

Please let me know if you have any difficulty locating appropriate materials. I highly recommend Google Scholar as a search engine of first resort. (AND MAKE SURE YOU START WORKING ON THIS ASSIGNMENT EARLY IN THE SEMESTER !!)